ETD Management in DSpace: A Report from the Texas ETD Repository Project

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The Texas Digital Library (TDL) is a consortium of public and private educational institutions from across the state of Texas. Founded in 2005, TDL exists to promote the scholarly activities of its members. One such activity is the collection and dissemination of ETDs. A federated collection of ETDs from multiple institutions was created in 2006, and has since grown into an all-encompassing ETD Repository project that is partially supported by a grant from the Institute for Museum and Library Sciences (IMLS). This project seeks to address the full life-cycle of ETDs, providing tools and services from the point of ingestion, through the review process, and finally to dissemination in the centrally federated repository.

A primary component of this project was the development of Vireo, a Web application for ETD submittal and management. Built directly into the DSpace repository, Vireo provides a customized submission process for students, and a rich, “Web-2.0” style management interface for graduate and library staff. Because it is built directly in the DSpace repository, scalability is possible from a single department or college up to a multiple-institution consortium.

In 2008, we reported the results of a demonstrator system that took place at Texas A&M University. Vireo has replaced the legacy application and is now the single point of entry for all theses and dissertations at that university. Rollout to other schools will follow a gradual, phased approach.

This paper examines the challenges faced as Texas A&M transitioned to a new ETD management system, and the architectural issues involved with scaling such a system to a statewide consortium. Finally, it will discuss the application’s release to the ETD community under an open-source license.

Implementing ETD Submission at Boston College

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In June 2007, dozens of dissertations were lost en route from Boston College (BC) to ProQuest (PQ). From then on, we have shipped no more paper. Today, an online submission system provides electronic copies to both ProQuest and to BC’s Open Access repository. This presentation recounts what was required to make eTD@BC a reality.

BC graduate students used to submit two paper copies of their theses: one for the Archives, the other for shipping to PQ. This workflow had to change. Theses would be digitized in-house; PDFs would be uploaded. Information that students had filled in on their PQ forms would be entered manually. This workflow was labor intensive; clearly, an online submission system was needed as soon as possible.

In late 2007, a working group of library staff was formed to plan a staged transition that would ensure both preservation and access, to specify the transition stages and their timing, to allay concerns of faculty or deans, and to safeguard the integrity of the system. Importantly, we needed to educate our stakeholders regarding the benefits of an online submission system, especially when coupled with Open Access.

Starting off with an environmental scan of other universities’ ETD programs as guidance for our planning, we also began taking measures to improve the workflow, such as asking for theses on CD-R. Administrators in BC’s six schools were invited to collaborate with us. With the plan taking shape, we solicited feedback from faculty and school administrators. Concerns ranging from the very general to the very specific had to be addressed.

We are now conducting a new and improved set of eTD@BC workshops to help graduate students succeed in their online submission. While not without challenges, online ETD submission is becoming the norm at BC. Distributed digital preservation is our next step.