

**ETD'2001, March 22, 2001, Caltech, Pasadena, CA**  
**Panel 1:**

**Developing the UNESCO  
International Guide for ETDs:  
A Multilingual Training Resource  
of Best Practices**

**“Parts 1 and 6”**

**Statement by**

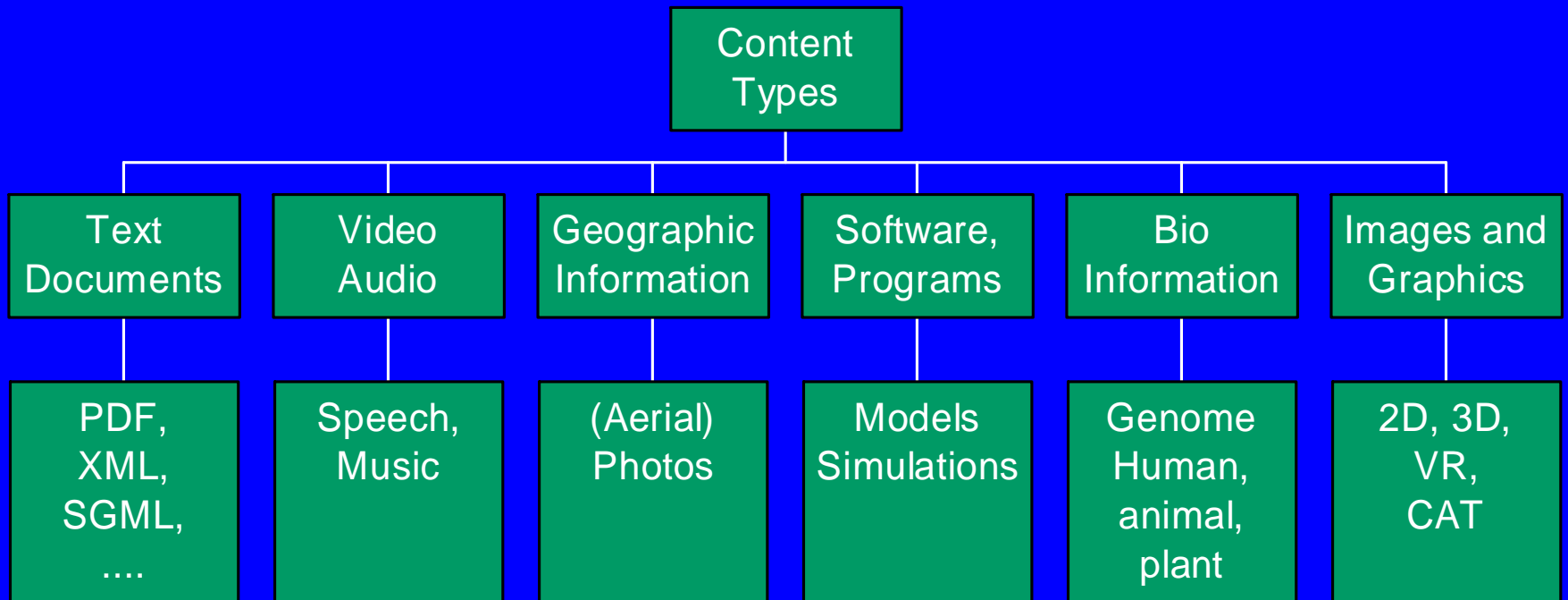
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**Blacksburg, VA 24061 USA**

# What are ETDs?

## Digital Library Content



The Networked Digital Library of Theses and Dissertations

[www.NDLTD.org](http://www.NDLTD.org)

Training Authors

Expanding Access

Preserving Knowledge

Improving Graduate Education

Enhancing Scholarly Communication

Empowering Students & Universities

Leader of the Worldwide ETD

(Electronic Thesis and Dissertation) Initiative

# A Digital Library Case Study

➡ **Domain:** graduate education, research

➡ **Genre:** ETDs = electronic theses & dissertations

➡ **Submission:**  
<http://etd.vt.edu>

➡ **Collection:**  
<http://www.theses.org>

## Project:

Networked Digital Library of Theses & Dissertations

<http://www.ndltd.org>  
(**NDLTD** – remember:  
ND LTD / NDL TD)



**NDLTID**



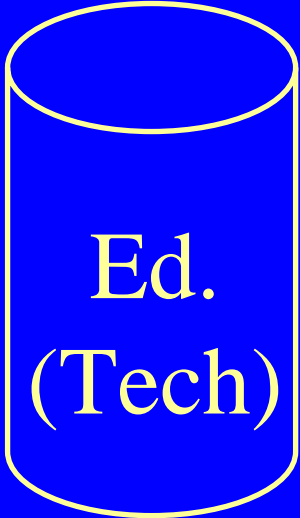
Grad  
Program



Library



IT



Ed.  
(Tech)

# What are the long term goals?

- ☞ Attract all TDs/yr: 50K D-US, 25K D-Germany, 10K TD-Canada, ...
- ☞ >200K/yr rich hypermedia ETDs that may turn into electronic portfolios (images, video, audio, ...)
- ☞ Dramatic increase in knowledge sharing: literature reviews, bibliographies, ...
- ☞ Services providing lifelong access for students: browse, search, prior searches, citation links
- ☞ Hundreds/thousands of downloads / year / work

# ETD2001 - Caltech

- ☞ March 22, 2001
- ☞ Opening Plenary Panel
- ☞ Overview of ETD Guide
- ☞ Fox comments on sections 1 and 6

# What led to today's meeting?

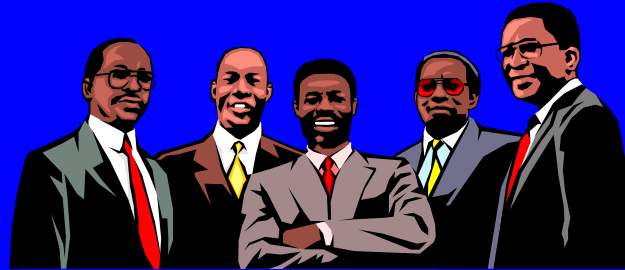
- ➡ 1987 mtg in Ann Arbor: UMI, VT, ...
- ➡ 1992 mtg in Washington: CNI, CGS, UMI, VT and 10 universities with 3 reps each
- ➡ 1993 mtg in Atlanta to start Monticello Electronic Library (MEL): SURA, SOLINET
- ➡ 1994 mtg in Blacksburg re ETD project: std of PDF + SGML + multimedia objects
- ➡ 1996 funding by SURA, US Dept. of Education (FIPSE) for regional, national projects
- ➡ 1997 meetings in UK, Germany, ...
- ➡ 1998 – 1<sup>st</sup> symposium – Memphis (20)
- ➡ 1999 – 2<sup>nd</sup> symposium – Blacksburg (70)
- ➡ 2000 – 3<sup>rd</sup> symposium – St. Petersburg (225) -> Caltech



# Responsibilities

- ☞ Handle local education and collection
  - Contact information for helpers
  - Archive (as an Open Archive Initiative site)
- ☞ Utilize standards
  - Metadata: MARC / DC-based consensus specification
- ☞ Share metadata
  - Union services, mirrored services worldwide
- ☞ Allow access
  - [www.theses.org](http://www.theses.org) / [www.dissertations.org](http://www.dissertations.org)
  - Open Archives Initiative ([www.openarchives.org](http://www.openarchives.org))

# Access Possibilities



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Web  
search  
engines

www.  
theses.  
org

www.  
openarchives.  
org

library  
catalog  
clients

3<sup>rd</sup>  
Party  
Services  
(e.g.,  
UMI)

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Virginia  
Tech

MIT

National  
Library of  
Portugal

CBUC  
(Spain)

Ohio  
Link

National  
Projects:  
AU, GE, ...

# OhioLINK

- ☞ Statewide Consortium
- ☞ Represents 79 colleges, universities, libraries
- ☞ Public Universities
- ☞ Private Universities and Colleges
- ☞ 2-Year Colleges
- ☞ Only a few (e.g., Miami U. of Ohio) are also NDLTD members on their own

# National / Regional Projects

## ☞ **Australia**

- U. New South Wales (lead)
- U. of Melbourne
- U. of Queensland
- U. of Sydney
- Australian National U.
- Curtin U. of Technology
- Griffith U.

## ☞ **Germany**

- Humboldt University (lead)
- 3 other universities
- 5 learned societies: Math, Physics, Chemistry, Sociology, Education
- 1 computing center
- 2 major libraries

## ☞ Consorci de Biblioteques Universitàries de **Catalunya**, as group, [www.cbuc.es](http://www.cbuc.es):

- Universitat de Barcelona
- Universitat Autònoma de Barcelona
- Universitat Politècnica de Catalunya
- Universitat Pompeu Fabra
- Universitat de Girona
- Universitat de Lleida
- Universitat Rovira i Virgili
- Universitat Oberta de Catalunya
- Biblioteca de Catalunya

## ☞ **South Africa: ECHEA/SEALS**

## ☞ **India, Portugal, ...**

# Other Countries with Members

➔ Belgium

➔ Brazil

➔ Canada

➔ Columbia

➔ Germany

➔ Hong Kong

➔ India

➔ Italy

➔ Korea

➔ Mexico

➔ Netherland

➔ Norway

➔ Russia

➔ Singapore

➔ S. Africa

➔ S. Korea

➔ Spain

➔ Sweden

➔ Taiwan

➔ UK

# DL Components

**Gateways**

**User Interfaces**

**MM/ HT Renderer**

**Workflow Mgr**

**Search Engines, Classifiers, ...**

**DBMS**

**Rights Mgr**

**Data, MM Info**

**Repository**

# Open Archives Initiative

## OAI

[www.openarchives.org](http://www.openarchives.org)



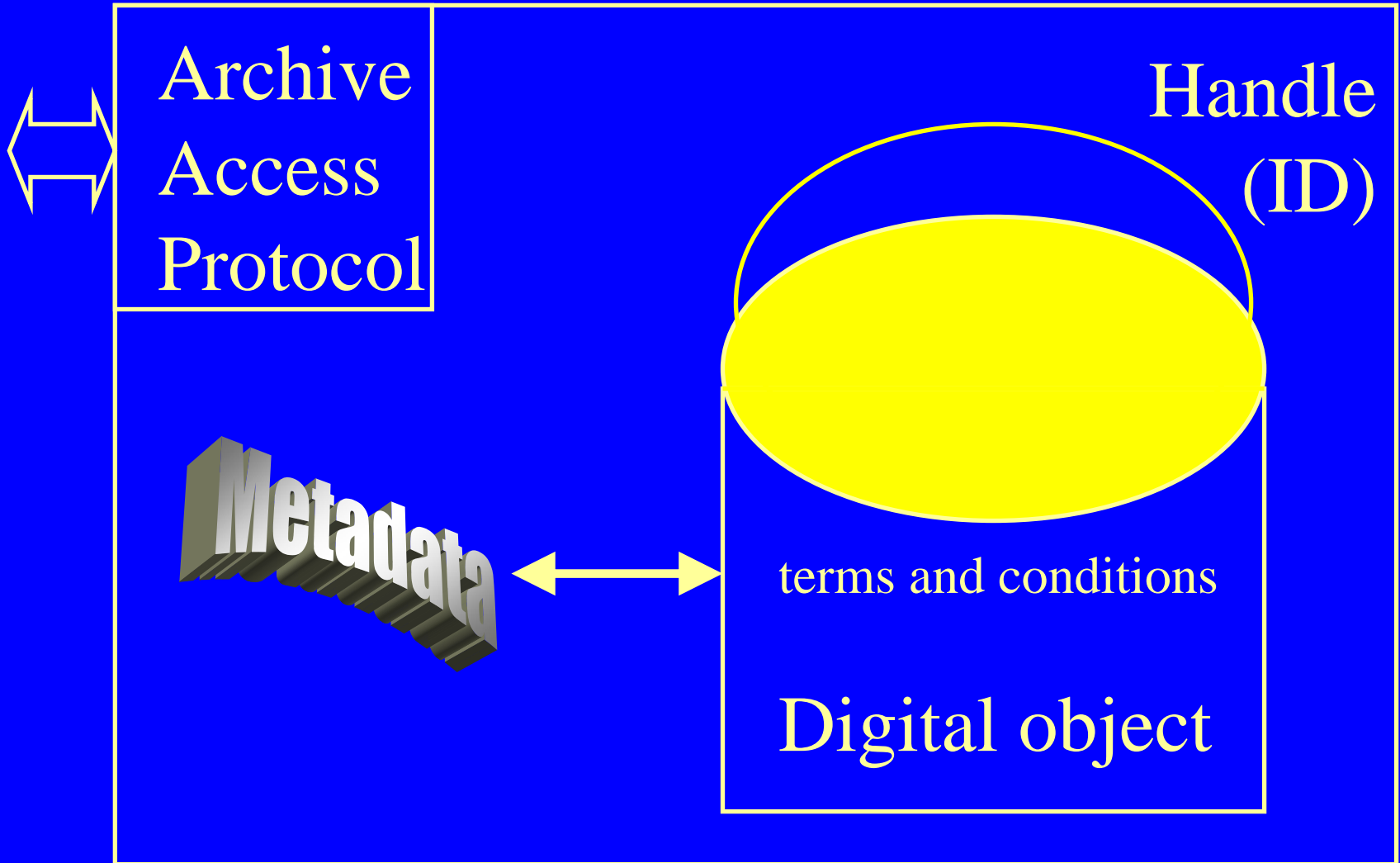
[openarchives@openarchives.org](mailto:openarchives@openarchives.org)

# OAI Philosophy

- ☞ Self-archiving = submission mechanism
- ☞ Long-term storage system = archive
- ☞ Open interface = harvesting mechanism
- ☞ Data provider + service provider
- ☞ Start with “gray literature”
  - e-prints/pre-prints, reports, dissertations, ...



# Archive of Digital Objects



# OAI – Repository Perspective

Required: Protocol

**Set Structure**  
**URI Scheme**

*MDO*

*MDO*

*MDO*

*MDO*

*MDO*

*MDO*

*MDO*

*MDO*

DO

DO

DO

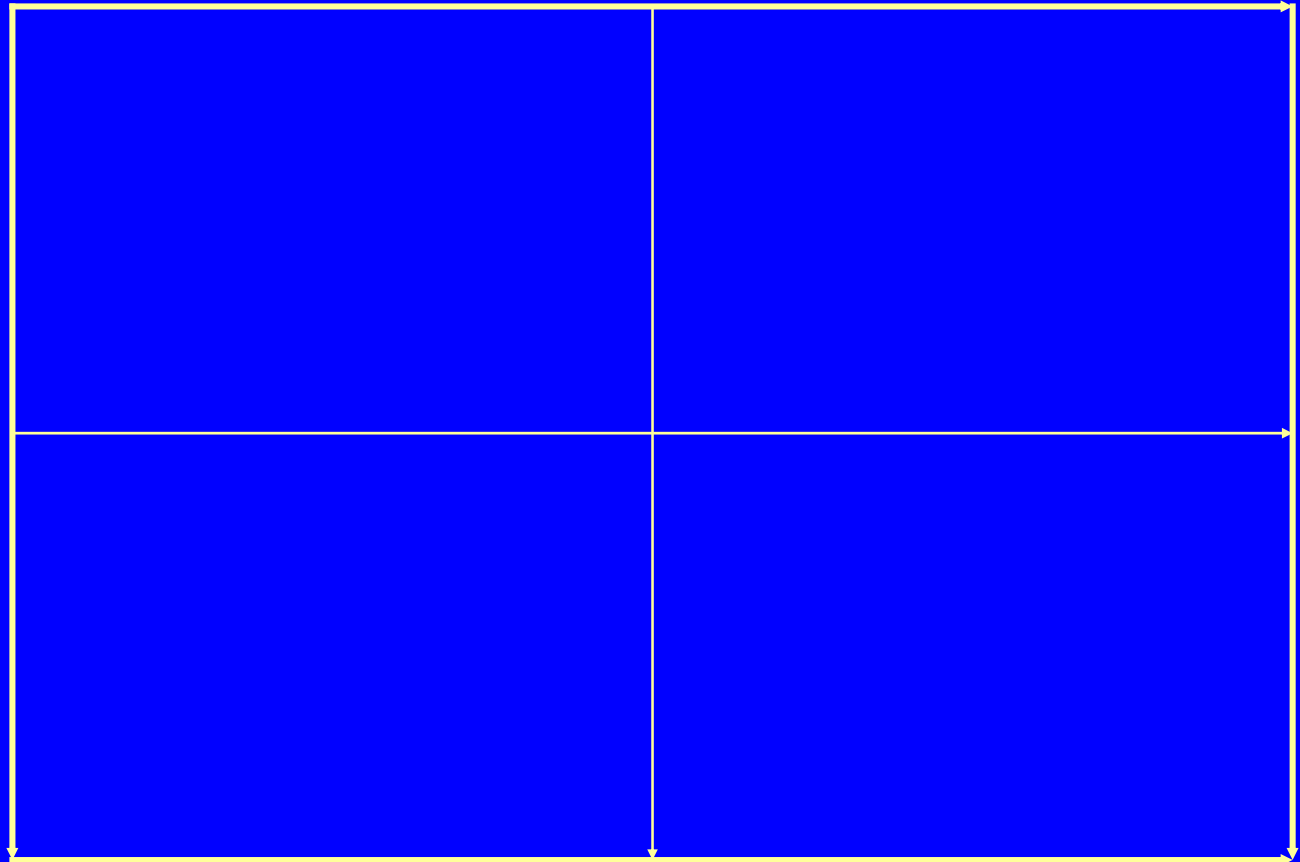
DO

**Required: DC**

# Approaches to Open Archives

Build By Institution

Build By  
Discipline



# Approaches to Open Archives

## Build By Institution

Build By  
Discipline

**A c c e s s  
b y**

Author

Category

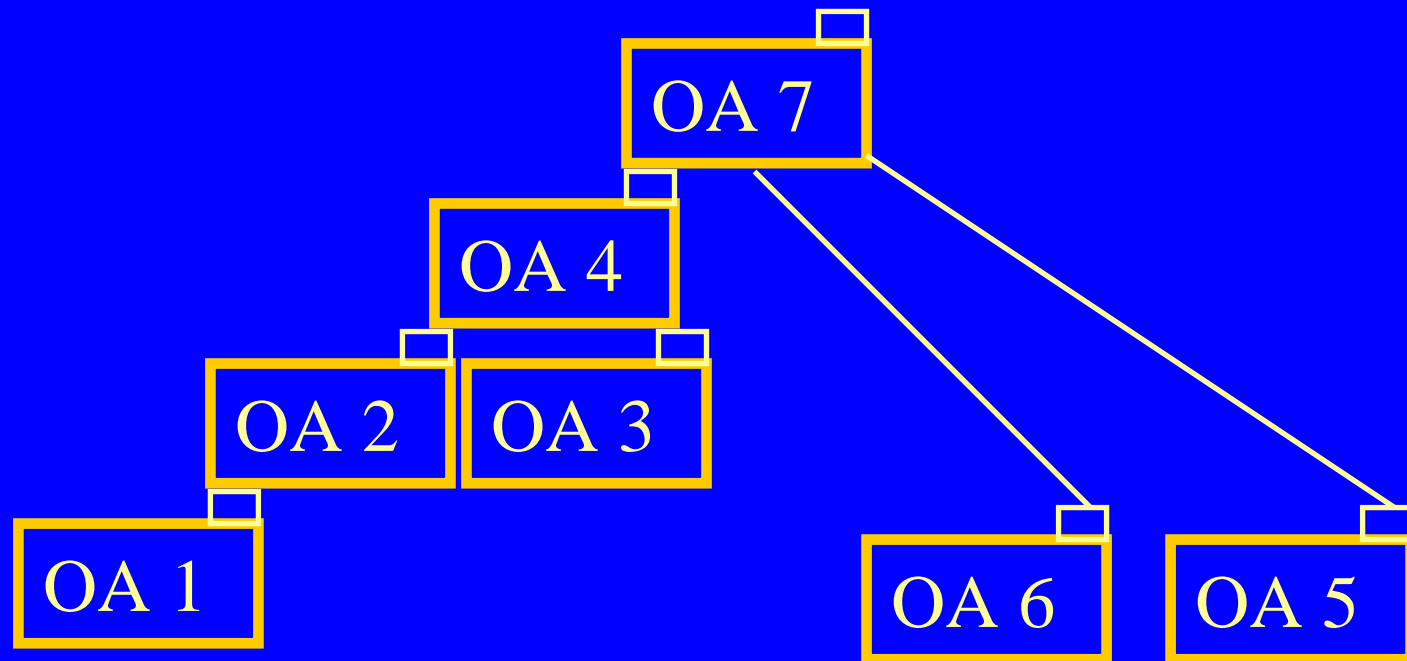
Interdisciplinary

Year

Language

Query ...


# OAI – Black Box Perspective



# Black Box OAI-ETD Perspective

www.theses.org

BN.PT  
(Portugal)

Dissert.Online  
(Germany)

CyberTheses  
(Francophone)

ADT  
(Australia)

SEALS  
(S.Africa)

CBUC  
(Catalunya)

NDC  
(Greece)

ISTEC  
(Ibero  
America)

PhysDis

OhioLINK

CIC

VT

MIT

U. Bergen  
(Norway)

NSYSU  
(Taiwan)

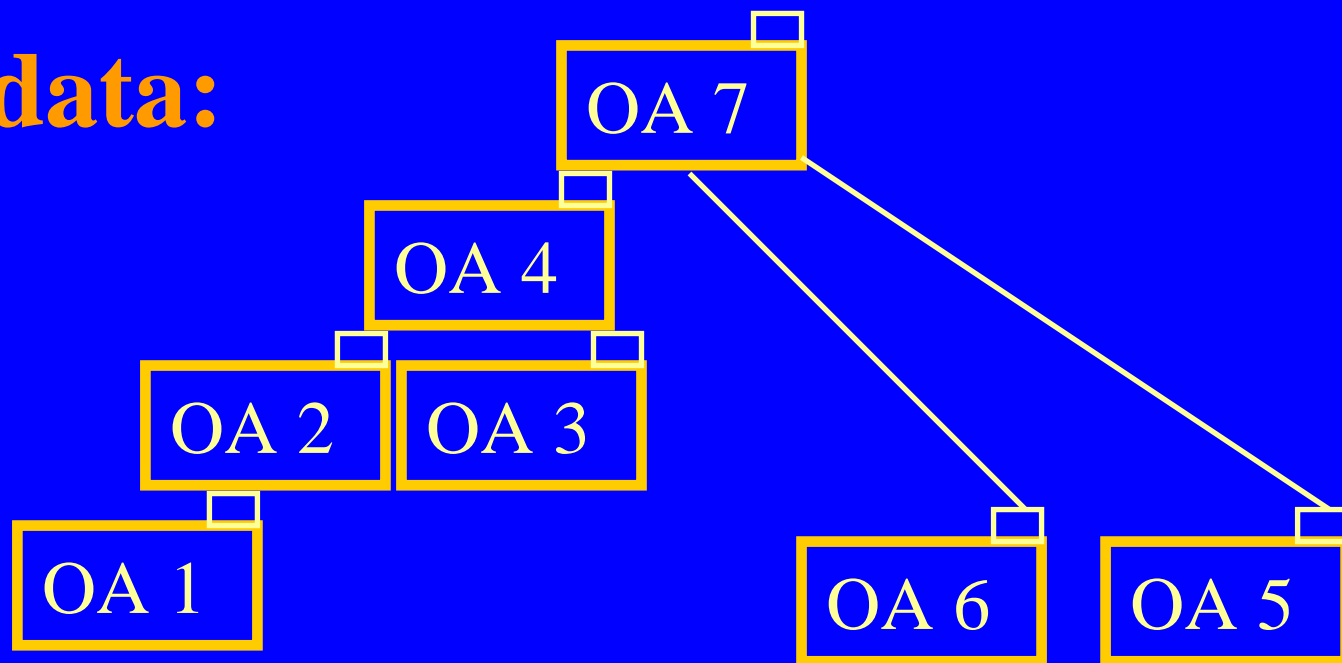
...

# OAI – Black Box Perspective

## Services:



## Metadata:



## Docs:

